

Orthogonal and Biorthogonal $\sqrt{3}$ -refinement Wavelets for Hexagonal Data Processing

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Abstract

The hexagonal lattice was proposed as an alternative method for image sampling. The hexagonal sampling has certain advantages over the conventionally used square sampling. Hence, the hexagonal lattice has been used in many areas.

A hexagonal lattice allows $\sqrt{3}$, dyadic and $\sqrt{7}$ refinements, which makes it possible to use the multiresolution (multiscale) analysis method to process hexagonally sampled data. The $\sqrt{3}$ -refinement is the most appealing refinement for multiresolution data processing due to the fact that it has the slowest progression through scale, and hence, it provides more resolution levels from which one can choose. This fact is the main motivation for the study of $\sqrt{3}$ -refinement surface subdivision, and it is also the main reason for the recommendation to use the $\sqrt{3}$ -refinement for discrete global grid systems. However, there is little work on compactly supported $\sqrt{3}$ -refinement wavelets. In this paper we study the construction of compactly supported orthogonal and biorthogonal $\sqrt{3}$ -refinement wavelets. In particular, we present a block structure of orthogonal FIR filter banks with 2-fold symmetry and construct the associated orthogonal $\sqrt{3}$ -refinement wavelets. We study the 6-fold axial symmetry of perfect reconstruction (biorthogonal) FIR filter banks. In addition, we obtain a block structure of 6-fold symmetric $\sqrt{3}$ -refinement filter banks and construct the associated biorthogonal wavelets.

Index Terms

Hexagonal lattice, hexagonal image, filter bank with 6-fold symmetry, $\sqrt{3}$ -refinement hexagonal filter bank, orthogonal $\sqrt{3}$ -refinement wavelet, biorthogonal $\sqrt{3}$ -refinement wavelet, $\sqrt{3}$ -refinement multiresolution decomposition/reconstruction.

EDICS Category: MRP-FBNK

I. INTRODUCTION

Images are conventionally sampled at the nodes on a square or rectangular lattice (array), and hence, traditional image processing is carried out on a square lattice. The hexagonal lattice (see the left part of Fig. 1) was proposed four decades ago as an alternative method for image sampling. The hexagonal sampling has certain advantages over the square sampling (see e.g. [1]–[8]), and hence, it has been used in many areas [9]–[20].

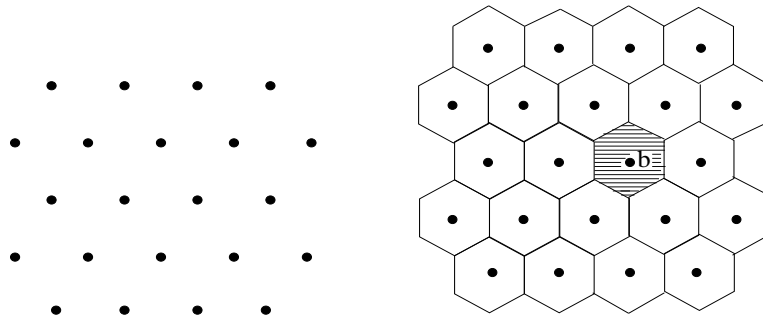


Fig. 1. Hexagonal lattice (left) and its associated hexagonal tessellation (right)

For images/data sampled on a hexagonal lattice, each node on the hexagonal lattice represents a hexagonal cell with that node as its center. A node b and the hexagonal cell (called the elementary hexagonal cell) it represents are shown in the right part of Fig. 1. All the hexagonal elementary cells form a hexagonal tessellation of the plane.

It was shown in [21], [22] that a hexagonal lattice allows three interesting types of refinements: 3-size (3-branch, or 3-aperture), 4-size (4-branch, or 4-aperture) and 7-size (7-branch, or 7-aperture) refinements. In the left picture of Fig. 2, the nodes of the unit regular hexagonal lattice \mathcal{G} are denoted by black dots \bullet and the nodes with circles \bigcirc form a new lattice, which is called the 4-size (4-branch, or 4-aperture) sublattice of \mathcal{G} here and it is denoted by \mathcal{G}_4 . \mathcal{G}_4 is also a regular hexagonal lattice. From \mathcal{G} to \mathcal{G}_4 , the nodes are reduced by a factor $\frac{1}{4}$. So \mathcal{G}_4 is a coarse lattice of \mathcal{G} , and \mathcal{G} is a refinement of \mathcal{G}_4 . Since \mathcal{G}_4 is also a regular hexagonal lattice, we can repeat the same procedure to \mathcal{G}_4 , and we then have a high-order (coarse) regular hexagonal lattice with fewer nodes than \mathcal{G}_4 . Repeating this procedure, we have a set of lattices with fewer and fewer nodes. This set of lattices forms a “pyramid” or “tree” with a high-order lattice has fewer nodes than its predecessor by a factor of $\frac{1}{4}$. The hexagonal tessellation associated with \mathcal{G}_4 (nodes of \mathcal{G}_4 are the centroids of hexagons (with thick edges) to form the tessellation) is shown in the right picture of Fig. 2, where the hexagonal tessellation associated with \mathcal{G} (with thin hexagon edges) is also provided.

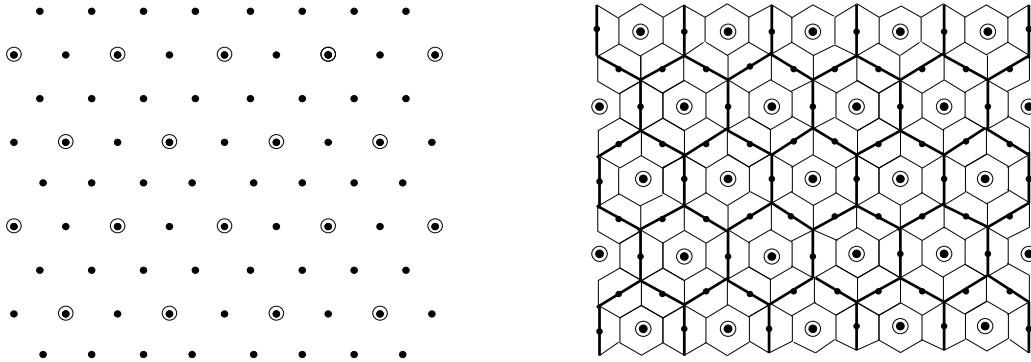


Fig. 2. Left picture: Hexagonal lattice \mathcal{G} (consisting of nodes \bullet) and its 4-size sublattice \mathcal{G}_4 (consisting of nodes \bigcirc); Right picture: hexagonal tessellations associated with \mathcal{G} and \mathcal{G}_4

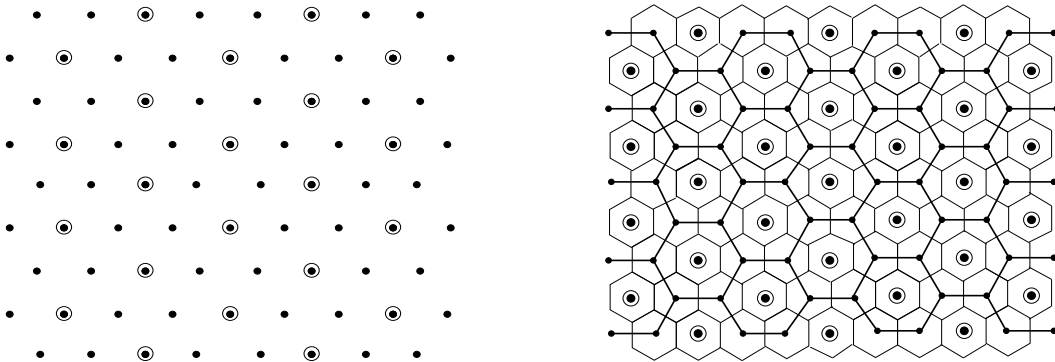


Fig. 3. Left picture: Hexagonal lattice \mathcal{G} (consisting of nodes \bullet) and its 3-size sublattice \mathcal{G}_3 (consisting of nodes \bigcirc); Right picture: Hexagonal tessellations associated with \mathcal{G} and \mathcal{G}_3

In the left picture of Fig. 3, the nodes with circles \bigcirc form a new coarse lattice, which is called the 3-size (3-branch, or 3-aperture) sublattice of \mathcal{G} here, and it is denoted by \mathcal{G}_3 . From \mathcal{G} to \mathcal{G}_3 , the nodes are reduced by a factor $\frac{1}{3}$. Again, repeating this process, we have a set of regular lattices which forms a “pyramid”. The hexagonal tessellation associated with \mathcal{G}_3 is shown in the right picture of Fig. 3. The reader refers to [23] for the 7-size refinement.

Notice that the distances between any two (nearest) adjoint nodes in \mathcal{G}_3 and \mathcal{G}_4 are respectively $\sqrt{3}$ and 2. The 3-size and 4-size refinements are called respectively $\sqrt{3}$ and dyadic (or 1-to-4 split) refinements in the area of Computer Aided Geometry Design [24]-[30], while they are called aperture 3 and aperture 4 (refinements) in discrete global grid systems in [20].

The refinements of the hexagonal lattice allow the multiresolution (multiscale) analysis method to be used to process hexagonally sampled data. The dyadic (4-size) refinement is the most commonly used refinement for multiresolution image processing, and there are many papers on the construction and/or applications of dyadic hexagonal filter banks and wavelets, see e.g. [11], [12], [18], [31]-[37]. Though $\sqrt{7}$ -refinement (7-size refinement) has some special properties, the $\sqrt{7}$ -refinement multiresolution data processing results in a reduction in resolution by a factor 7 which may be too coarse and is undesirable. The reader refers to [23] for the construction of $\sqrt{7}$ -refinement wavelets.

The $\sqrt{3}$ (3-size) refinement is the most appealing refinement for multiresolution data processing due to the fact that $\sqrt{3}$ -refinement generates more resolutions and, hence, it gives applications more resolutions from which to choose. This fact is the main motivation for the study of $\sqrt{3}$ -refinement subdivision in [24]-[30] and it is also the main reason for the recommendation to use the $\sqrt{3}$ -refinement for discrete global grid systems in [20], where $\sqrt{3}$ -refinement is called 3 aperture. The $\sqrt{3}$ -refinement has been used by engineers and scientists of the PYXIS innovation Inc. to develop The PYXIS Digital Earth Reference Model [38]. However, there is little work on $\sqrt{3}$ -refinement wavelets. [40], [41] are the only articles available in the literature on this topic. (The readers to [39] for rotation covariant quincunx wavelets on square lattice.) The authors of [41] construct biorthogonal $\sqrt{3}$ -refinement wavelets by adopting the method in [35] for the construction of dyadic wavelets. The wavelets in [35] and [41] are constructed for the purpose of surface multiresolution processing which involves both regular and extraordinary nodes (vertices) in the surfaces. It is hard to calculate the L^2 inner product of the scaling functions (also called basis functions) and wavelets associated with extraordinary nodes. Thus, when considering the biorthogonality, [35] and [41] do not use the L^2 inner product. Instead, they use a “discrete inner product” related to the discrete filters. That discrete inner product may result in basis functions and wavelets which are not $L^2(\mathbb{R}^2)$ functions. Indeed, the $\sqrt{3}$ -refinement analysis basis functions and wavelets (even associated with regular nodes) constructed in [41] are not in $L^2(\mathbb{R}^2)$, and hence they cannot generate Riesz bases for $L^2(\mathbb{R}^2)$. In this paper we study the construction of orthogonal and biorthogonal $\sqrt{3}$ -refinement wavelets (for regular nodes) with the conventional L^2 inner product.

The rest of this paper is organized as follows. In Section II, we provide $\sqrt{3}$ -refinement multiresolution decomposition and reconstruction algorithms and some basic results on the orthogonality/biorthogonality of $\sqrt{3}$ -refinement filter banks. In Section III, we study the construction of orthogonal $\sqrt{3}$ -refinement wavelets. We will present a block structure of orthogonal FIR filter banks. In Section IV, we address the construction of $\sqrt{3}$ -refinement perfect reconstruction (biorthogonal) filter banks with 6-fold axial symmetry and the associated biorthogonal wavelets.

In this paper we use bold-faced letters such as \mathbf{k} , \mathbf{x} , $\boldsymbol{\omega}$ to denote elements of \mathbf{Z}^2 and \mathbb{R}^2 . A multi-index \mathbf{k} of \mathbf{Z}^2 and a point \mathbf{x} in \mathbb{R}^2 will be written as row vectors

$$\mathbf{k} = (k_1, k_2), \quad \mathbf{x} = (x_1, x_2).$$

However, \mathbf{k} and \mathbf{x} should be understood as column vectors $[k_1, k_2]^T$ and $[x_1, x_2]^T$ when we consider $A\mathbf{k}$ and $A\mathbf{x}$, where A is a 2×2 matrix. For a matrix M , we use M^* to denote its conjugate transpose \overline{M}^T , and for a nonsingular matrix M , M^{-T} denotes $(M^{-1})^T$.

II. MULTIREOLUTION PROCESSING WITH $\sqrt{3}$ -REFINEMENT FILTER BANKS

In this section, we provide $\sqrt{3}$ -refinement multiresolution decomposition and reconstruction algorithms and present some basic results on the orthogonality/biorthogonality of $\sqrt{3}$ -refinement filter banks.

A. $\sqrt{3}$ -refinement multiresolution algorithms

Let \mathcal{G} denote the regular unit hexagonal lattice defined by

$$\mathcal{G} = \{k_1 \mathbf{v}_1 + k_2 \mathbf{v}_2 : k_1, k_2 \in \mathbf{Z}\}, \quad (1)$$

where

$$\mathbf{v}_1 = [1, 0]^T, \quad \mathbf{v}_2 = \left[-\frac{1}{2}, \frac{\sqrt{3}}{2}\right]^T.$$

To a node $\mathbf{g} = k_1 \mathbf{v}_1 + k_2 \mathbf{v}_2$ of \mathcal{G} , we use (k_1, k_2) to indicate \mathbf{g} , see the left part of Fig. 4 for the labelling of \mathcal{G} . Thus, for hexagonal data c sampled on \mathcal{G} , instead of using $c_{\mathbf{g}}$, we use c_{k_1, k_2} to denote the pixel of c at $\mathbf{g} = k_1 \mathbf{v}_1 + k_2 \mathbf{v}_2$.

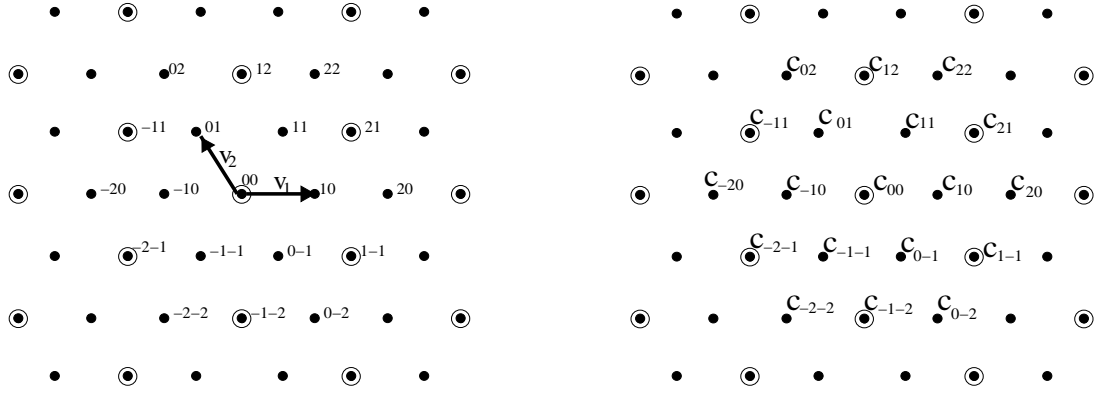


Fig. 4. Left: Indices for hexagonal nodes; Right: Indices for hexagonally sampled data c

Therefore, we write c , data hexagonally sampled on \mathcal{G} , as $c = \{c_{k_1, k_2}\}_{k_1, k_2 \in \mathbf{Z}}$, see the right part of Fig. 4 for c_{k_1, k_2} .

Denote

$$\mathbf{V}_1 = 2\mathbf{v}_1 + \mathbf{v}_2, \quad \mathbf{V}_2 = -\mathbf{v}_1 + \mathbf{v}_2.$$

Then the coarse lattice \mathcal{G}_3 is generated by

$$\mathcal{G}_3 = \{k_1 \mathbf{V}_1 + k_2 \mathbf{V}_2 : k_1, k_2 \in \mathbf{Z}\}.$$

Observe that $k_1 \mathbf{V}_1 + k_2 \mathbf{V}_2 = (2k_1 - k_2)\mathbf{v}_1 + (k_1 + k_2)\mathbf{v}_2$. Thus, the indices for nodes of \mathcal{G}_3 are $\{(2k_1 - k_2, k_1 + k_2), k_1, k_2 \in \mathbf{Z}\}$ and hence, the data c associated with \mathcal{G}_3 is given by $\{c_{(2k_1 - k_2, k_1 + k_2)}\}_{k_1, k_2 \in \mathbf{Z}}$.

To provide the multiresolution image decomposition and reconstruction algorithms, we need to choose a 2×2 matrix M , called the *dilation matrix*, such that it maps the indices for the nodes of the hexagonal lattice \mathcal{G} onto those for the nodes of the coarse lattice \mathcal{G}_3 , namely, we need to choose M such that

$$M : (k_1, k_2) \rightarrow (2k_1 - k_2, k_1 + k_2), \quad k_1, k_2 \in \mathbf{Z}.$$

One may choose M to be a matrix that maps $A = \{(1, 0), (1, 1), (0, 1), (-1, 0), (-1, -1), (0, -1)\}$ onto $B = \{(2, 1), (1, 2), (-1, 1), (-2, -1), (-1, -2), (1, -1)\}$. Notice that $k_1 \mathbf{v}_1 + k_2 \mathbf{v}_2$ with $(k_1, k_2) \in A$ form a hexagon, while $k_1 \mathbf{v}_1 + k_2 \mathbf{v}_2$ with $(k_1, k_2) \in B$ form a hexagon with vertices in \mathcal{G}_3 . There are several choices for such a matrix M . Here we consider two of such matrices (refer to [29] for other choices of M):

$$M_1 = \begin{bmatrix} 2 & -1 \\ 1 & 1 \end{bmatrix}, \quad M_2 = \begin{bmatrix} 2 & -1 \\ 1 & -2 \end{bmatrix} \quad (2)$$

As sets, both $M_1 \mathbf{Z}^2$ and $M_2 \mathbf{Z}^2$ are the same set $\{(2k_1 - k_2, k_1 + k_2) : k_1, k_2 \in \mathbf{Z}\}$: the indices for nodes in the coarse lattice \mathcal{G}_3 . But considering the individual nodes of \mathcal{G}_3 with indices $M_1 \mathbf{k}, \mathbf{k} \in \mathbf{Z}^2$ and those with $M_2 \mathbf{k}, \mathbf{k} \in \mathbf{Z}^2$, one observes that the coarse lattice of nodes with $M_1 \mathbf{k}, \mathbf{k} \in \mathbf{Z}^2$ keeps the orientation but is rotated clockwise 30° with respect to the axes of \mathcal{G} (see the left part of Fig. 5, where $\mathbf{a}_1, \dots, \mathbf{f}_1$ are the images of $\mathbf{a}, \dots, \mathbf{f}$ with M_1), while the coarse lattice of nodes with $M_2 \mathbf{k}, \mathbf{k} \in \mathbf{Z}^2$ are rotated and reflected from those of \mathcal{G} (see the right part of Fig. 5, where $\mathbf{a}_2, \dots, \mathbf{f}_2$ are the images of $\mathbf{a}, \dots, \mathbf{f}$ with M_2). One also observes that the axes of the coarser lattice of nodes with $M_2^2 \mathbf{k}, \mathbf{k} \in \mathbf{Z}^2$ are the same as those of \mathcal{G} since $(M_2)^2 = 3I_2$. In [42], the subsampling such as that with M_1 (M_2 respectively) is called the spiraling (toggling respectively) subsampling. It should be up to one's specific application to use M_1 , M_2 or another dilation matrix.

For a sequence $\{p_{\mathbf{k}}\}_{\mathbf{k} \in \mathbf{Z}^2}$ of real numbers with finitely many $p_{\mathbf{k}}$ nonzero, let $p(\omega)$ denote the finite impulse response (FIR) filter with its impulse response coefficients $p_{\mathbf{k}}$ (here a factor $1/3$ is added for convenience):

$$p(\omega) = \frac{1}{3} \sum_{\mathbf{k} \in \mathbf{Z}^2} p_{\mathbf{k}} e^{-i\mathbf{k} \cdot \omega}.$$

When $\mathbf{k}, \mathbf{k} \in \mathbf{Z}^2$, are considered as indices for nodes $\mathbf{g} = k_1 \mathbf{v}_1 + k_2 \mathbf{v}_2$ of \mathcal{G} , $p(\omega)$ is a hexagonal filter, see Fig. 6 for the coefficients p_{k_1, k_2} . In this paper, a filter means a hexagonal filter though the indices of its coefficients are given by \mathbf{k} with \mathbf{k} in the square lattice \mathbf{Z}^2 .

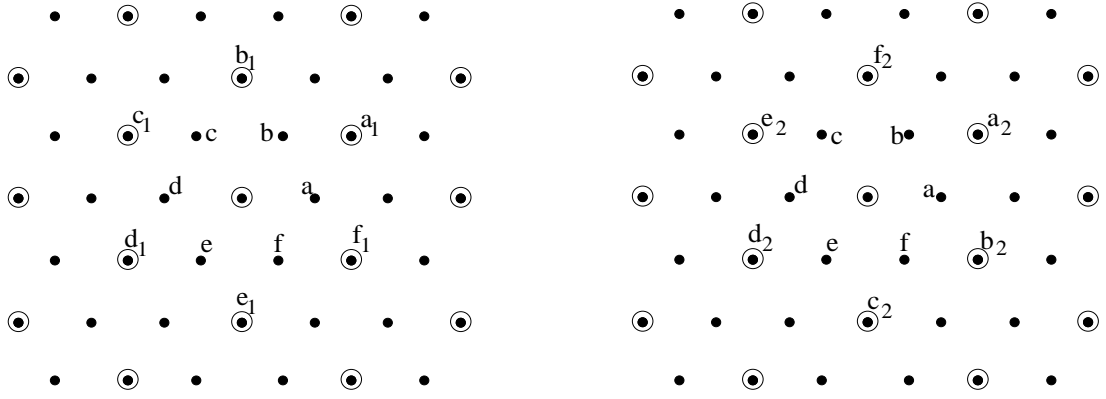


Fig. 5. Hexagonal lattice with $\sqrt{3}$ spiraling refinement (left) and hexagonal lattice with $\sqrt{3}$ toggling refinement (right)

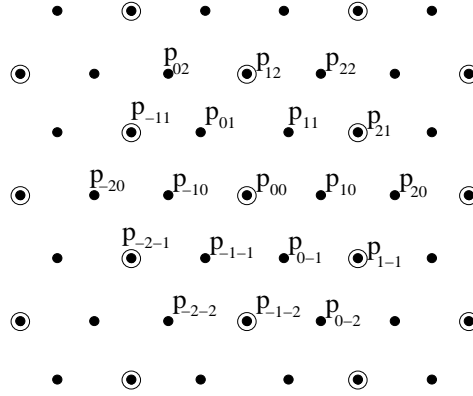


Fig. 6. Indices for impulse response coefficients p_{k_1, k_2}

For a pair of filter banks $\{p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)\}$ and $\{\tilde{p}(\omega), \tilde{q}^{(1)}(\omega), \tilde{q}^{(2)}(\omega)\}$, the multiresolution decomposition algorithm with a dilation matrix M for an input hexagonally sampled image c_k^0 is

$$\begin{cases} c_n^{j+1} = (1/3) \sum_{k \in \mathbf{Z}^2} p_{k-Mn} c_k^j, \\ d_n^{(\ell, j+1)} = (1/3) \sum_{k \in \mathbf{Z}^2} q_{k-Mn}^{(\ell)} c_k^j, \end{cases} \quad (3)$$

with $\ell = 1, 2, \mathbf{n} \in \mathbf{Z}^2$ for $j = 0, 1, \dots, J-1$, and the multiresolution reconstruction algorithm is given by

$$\hat{c}_k^j = \sum_{\mathbf{n} \in \mathbf{Z}^2} \tilde{p}_{k-Mn} \hat{c}_n^{j+1} + \sum_{1 \leq \ell \leq 2} \sum_{\mathbf{n} \in \mathbf{Z}^2} \tilde{q}_{k-Mn}^{(\ell)} d_n^{(\ell, j+1)} \quad (4)$$

with $\mathbf{k} \in \mathbf{Z}^2$ for $j = J-1, J-2, \dots, 0$, where $\hat{c}_{\mathbf{n}, J} = c_{\mathbf{n}, J}$. We say hexagonally filter banks $\{p, q^{(1)}, q^{(2)}\}$ and $\{\tilde{p}, \tilde{q}^{(1)}, \tilde{q}^{(2)}\}$ to be the *perfect reconstruction (PR) filter banks* if $\hat{c}_k^j = c_k^j$, $0 \leq j \leq J-1$ for any input hexagonally sampled image c_k^0 . $\{p, q^{(1)}, q^{(2)}\}$ is called the *analysis filter bank* and $\{\tilde{p}, \tilde{q}^{(1)}, \tilde{q}^{(2)}\}$ the *synthesis filter bank*.

From (3) and (4), we know when the indices of hexagonally sampled data are labelled by $(k_1, k_2) \in \mathbf{Z}^2$ as in Fig. 4, the decomposition and reconstruction algorithms for hexagonal data with hexagonal filter banks are the conventional multiresolution decomposition and reconstruction algorithms for squarely sampled images. Thus, the integer-shift invariant multiresolution analysis theory implies (refer to e.g. [43]) that $\{p, q^{(1)}, q^{(2)}\}$ and $\{\tilde{p}, \tilde{q}^{(1)}, \tilde{q}^{(2)}\}$ are PR filter banks if and only if

$$\sum_{0 \leq k \leq 2} p(\omega + 2\pi M^{-T} \eta_k) \overline{\tilde{p}(\omega + 2\pi M^{-T} \eta_k)} = 1, \quad (5)$$

$$\sum_{0 \leq k \leq 2} p(\omega + 2\pi M^{-T} \eta_k) \overline{\tilde{q}^{(\ell)}(\omega + 2\pi M^{-T} \eta_k)} = 0, \quad (6)$$

$$\sum_{0 \leq k \leq 2} q^{(\ell')}(\omega + 2\pi M^{-T} \eta_k) \overline{\tilde{q}^{(\ell)}(\omega + 2\pi M^{-T} \eta_k)} = \delta_{\ell' - \ell}, \quad (7)$$

for $1 \leq \ell, \ell' \leq 2$, $\boldsymbol{\omega} \in \mathbb{R}^2$, where $\boldsymbol{\eta}_j, 0 \leq j \leq 2$ are the representatives of the group $\mathbf{Z}^2/(M^T \mathbf{Z}^2)$, δ_k is the kronecker-delta sequence: $\delta_k = 1$ if $k = 0$, and $\delta_k = 0$ if $k \neq 0$. When M is the dilation matrix M_1 or M_2 in (2), we may choose $\boldsymbol{\eta}_j, 0 \leq j \leq 2$ to be

$$\boldsymbol{\eta}_0 = (0, 0), \boldsymbol{\eta}_1 = (1, 0), \boldsymbol{\eta}_2 = (-1, 0). \quad (8)$$

Filter banks $\{p, q^{(1)}, q^{(2)}\}$ and $\{\tilde{p}, \tilde{q}^{(1)}, \tilde{q}^{(2)}\}$ are also said to be *biorthogonal* if they satisfy (5)-(7); and a filter bank $\{p, q^{(1)}, q^{(2)}\}$ is said to be *orthogonal* if it satisfies (5)-(7) with $\tilde{p} = p, \tilde{q}^{(\ell)} = q^{(\ell)}, 1 \leq \ell \leq 2$.

Let $\{p, q^{(1)}, q^{(2)}\}$ and $\{\tilde{p}, \tilde{q}^{(1)}, \tilde{q}^{(2)}\}$ be a pair of FIR filter banks. Let ϕ and $\tilde{\phi}$ be the scaling functions (with dilation matrix M) associated with lowpass filters $p(\boldsymbol{\omega})$ and $\tilde{p}(\boldsymbol{\omega})$ respectively, namely, $\phi, \tilde{\phi}$ satisfy the refinement equations:

$$\phi(\mathbf{x}) = \sum_{\mathbf{k} \in \mathbf{Z}^2} p_{\mathbf{k}} \phi(M\mathbf{x} - \mathbf{k}), \quad \tilde{\phi}(\mathbf{x}) = \sum_{\mathbf{k} \in \mathbf{Z}^2} \tilde{p}_{\mathbf{k}} \tilde{\phi}(M\mathbf{x} - \mathbf{k}), \quad (9)$$

and $\psi^{(\ell)}, \tilde{\psi}^{(\ell)}, 1 \leq \ell \leq 2$ are given by

$$\begin{aligned} \psi^{(\ell)}(\mathbf{x}) &= \sum_{\mathbf{k} \in \mathbf{Z}^2} q_{\mathbf{k}}^{(\ell)} \phi(M\mathbf{x} - \mathbf{k}), \\ \tilde{\psi}^{(\ell)}(\mathbf{x}) &= \sum_{\mathbf{k} \in \mathbf{Z}^2} \tilde{q}_{\mathbf{k}}^{(\ell)} \tilde{\phi}(M\mathbf{x} - \mathbf{k}), \end{aligned} \quad (10)$$

where $p_{\mathbf{k}}, \tilde{p}_{\mathbf{k}}, q_{\mathbf{k}}^{(\ell)}, \tilde{q}_{\mathbf{k}}^{(\ell)}$ are the impulse response coefficients of $p(\boldsymbol{\omega}), \tilde{p}(\boldsymbol{\omega}), q^{(\ell)}(\boldsymbol{\omega}), \tilde{q}^{(\ell)}(\boldsymbol{\omega})$, respectively

If $\{p, q^{(1)}, q^{(2)}\}$ and $\{\tilde{p}, \tilde{q}^{(1)}, \tilde{q}^{(2)}\}$ are biorthogonal to each other (with dilation M), then under certain mild conditions (see e.g. [44], [45], [43], [23]), ϕ and $\tilde{\phi}$ are biorthogonal duals: $\int_{\mathbb{R}^2} \phi(\mathbf{x}) \tilde{\phi}(\mathbf{x} - \mathbf{k}) d\mathbf{x} = \delta_{\mathbf{k}}, \mathbf{k} \in \mathbf{Z}^2$, where $\delta_{\mathbf{k}} = \delta_{k_1} \delta_{k_2}$. In this case, $\psi^{(\ell)}, \tilde{\psi}^{(\ell)}, \ell = 1, 2$, are biorthogonal wavelets, namely, $\{\psi_{j,\mathbf{k}}^{(\ell)} : \ell = 1, 2, j \in \mathbf{Z}, \mathbf{k} \in \mathbf{Z}^2\}$ and $\{\tilde{\psi}_{j,\mathbf{k}}^{(\ell)} : \ell = 1, 2, j \in \mathbf{Z}, \mathbf{k} \in \mathbf{Z}^2\}$ are Riesz bases of $L^2(\mathbb{R}^2)$ and they are biorthogonal to each other:

$$\int_{\mathbb{R}^2} \psi_{j,\mathbf{k}}^{(\ell)}(\mathbf{x}) \overline{\tilde{\psi}_{j',\mathbf{k}'}^{(\ell')}}(\mathbf{x}) d\mathbf{x} = \delta_{j-j'} \delta_{\ell-\ell'} \delta_{\mathbf{k}-\mathbf{k}'},$$

for $j, j' \in \mathbf{Z}, 1 \leq \ell, \ell' \leq 2, \mathbf{k}, \mathbf{k}' \in \mathbf{Z}^2$, where

$$\psi_{j,\mathbf{k}}^{(\ell)}(\mathbf{x}) = 3^{\frac{j}{2}} \psi^{(\ell)}(M^j \mathbf{x} - \mathbf{k}), \quad \tilde{\psi}_{j,\mathbf{k}}^{(\ell)}(\mathbf{x}) = 3^{\frac{j}{2}} \tilde{\psi}^{(\ell)}(M^j \mathbf{x} - \mathbf{k}), \quad j \in \mathbf{Z}, \mathbf{k} \in \mathbf{Z}^2.$$

Remark 1: One can verify that $\{M_1^{-T} \boldsymbol{\eta}_j : j = 0, 1, 2\} = \{M_2^{-T} \boldsymbol{\eta}_j : j = 0, 1, 2\}$, where $\boldsymbol{\eta}_j, j = 0, 1, 2$ are the representatives for both $\mathbf{Z}^2/M_1^T \mathbf{Z}^2$ and $\mathbf{Z}^2/M_2^T \mathbf{Z}^2$ given in (8). Thus, $\{p, q^{(1)}, q^{(2)}\}$ and $\{\tilde{p}, \tilde{q}^{(1)}, \tilde{q}^{(2)}\}$ are biorthogonal with one of M_1, M_2 , say M_1 , then they are also biorthogonal to each other with the other dilation matrix, M_2 .

ϕ and $\tilde{\phi}$ are refinable functions along \mathbf{Z}^2 . $\phi, \tilde{\phi}$ and $\psi^{(\ell)}, \tilde{\psi}^{(\ell)}, \ell = 1, 2$ are the conventional scaling functions and wavelets. Let U be the matrix defined by

$$U = \begin{bmatrix} 1 & \frac{\sqrt{3}}{3} \\ 0 & \frac{2\sqrt{3}}{3} \end{bmatrix}.$$

Then U transforms the regular unit hexagonal lattice \mathcal{G} onto the square lattice \mathbf{Z}^2 . Define

$$\begin{aligned} \Phi(\mathbf{x}) &= \phi(U\mathbf{x}), \quad \Psi^{(\ell)}(\mathbf{x}) = \psi^{(\ell)}(U\mathbf{x}), \\ \tilde{\Phi}(\mathbf{x}) &= \tilde{\phi}(U\mathbf{x}), \quad \tilde{\Psi}^{(\ell)}(\mathbf{x}) = \tilde{\psi}^{(\ell)}(U\mathbf{x}), \quad \ell = 1, 2. \end{aligned} \quad (11)$$

Then Φ and $\tilde{\Phi}$ are refinable along \mathcal{G} with the same coefficients $p_{\mathbf{k}}$ and $\tilde{p}_{\mathbf{k}}$ for ϕ and $\tilde{\phi}$, and $\Psi^{(\ell)}, \ell = 1, 2$ and $\tilde{\Psi}^{(\ell)}, \ell = 1, 2$ are hexagonal biorthogonal wavelets (along the hexagonal lattice \mathcal{G}). The reader refers to [46] for refinable functions along a general lattice.

In the rest of this section, we give the definitions of the symmetries of filter banks considered in this paper.

Definition 1: A hexagonal filter bank $\{p, q^{(1)}, q^{(2)}\}$ is said to have *2-fold rotational symmetry* if its lowpass filter $p(\boldsymbol{\omega})$ is invariant under π rotation, and its second highpass filter $q^{(2)}$ is the π rotation of its first highpass filter $q^{(1)}$.

Definition 2: Let $S_j, 0 \leq j \leq 5$ be the axes in the left part of Fig. 7. A hexagonal filter bank $\{p, q^{(1)}, q^{(2)}\}$ is said to have *6-fold axial symmetry* or *6-fold line symmetry* if (i) its lowpass filter $p(\boldsymbol{\omega})$ is symmetric around

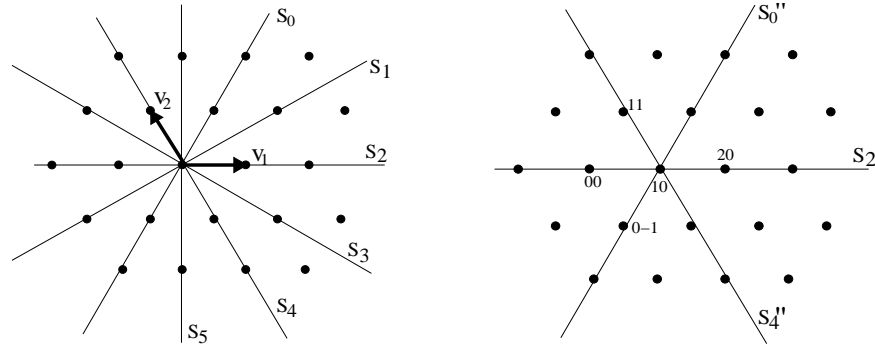


Fig. 7. Left: 6 axes (lines) of symmetry for lowpass filter p ; Right: 3 axes (lines) of symmetry for highpass filter $q^{(1)}$

S_0, \dots, S_5 , (ii) its highpass filter satisfies that $e^{-i\omega_1} q^{(1)}(\omega)$ is symmetric around the axes S_0, S_2, S_4 , and (iii) the other highpass filter $q^{(2)}$ is the π rotation of highpass filter $q^{(1)}$.

The right part of Fig. 7 shows the symmetry of $q^{(1)}$, namely, $q^{(1)}$ is symmetric around the axes S_0'', S_2, S_4'' , where S_0'' and S_4'' are the 1-unit right shifts of S_0 and S_4 respectively.

The symmetry of hexagonal filter banks is important for image/data processing, and it leads to simpler algorithms and efficient computations. Unlike the orthogonal dyadic refinement and $\sqrt{7}$ -refinement hexagonal filter banks which may have 3-fold and 6-fold symmetry respectively, it seems hard to construct orthogonal $\sqrt{3}$ -refinement filter banks with high symmetry (only 2-fold symmetry can be obtained here). While for biorthogonal filter banks, we have more flexibility for their construction and very high symmetry can be gained. Some 3-direction box-splines in [47] are symmetric around $S_j, 0 \leq j \leq 5$, and such box-splines are called to have the *full set of symmetries*. For the biorthogonal filter banks considered in this paper, the lowpass filters have the full set of symmetries, and the highpass filters also have certain symmetry as well. Such a symmetry of our filter banks not only results in efficient computations, but also makes it possible to design surface multiresolution decomposition and reconstruction algorithms for extraordinary nodes when the filters constructed in this paper are used for the multiresolution algorithms for regular nodes. More precisely, our symmetric biorthogonal filter banks result in multiresolution algorithms independent of the orientation of the nodes. This is critical for the design of multiresolution algorithms for extraordinary nodes in multiresolution surface processing. The design of multiresolution algorithms for extraordinary nodes will appear elsewhere.

In the next section, Section III, we discuss the construction of 2-fold symmetric orthogonal $\sqrt{3}$ -refinement wavelets, and in Section IV, we consider the construction of 6-fold symmetric biorthogonal $\sqrt{3}$ -refinement wavelets. When we consider orthogonal and biorthogonal wavelets, from Remark 1, we need only to consider one of the dilation matrices M_1, M_2 . In the rest of this paper, without loss of generality, we choose M to be M_1 .

III. ORTHOGONAL $\sqrt{3}$ -REFINEMENT WAVELETS

In this section we consider filter banks with 2-fold rotational symmetry. First we give a family of 2-fold symmetric orthogonal filter banks.

By the definition of the symmetry, we know that an FIR filter bank $\{p, q^{(1)}, q^{(2)}\}$ has 2-fold rotational symmetry if and only if

$$p_{-\mathbf{k}} = p_{\mathbf{k}}, q_{\mathbf{k}}^{(2)} = q_{-\mathbf{k}}^{(1)}, \mathbf{k} \in \mathbf{Z}^2,$$

namely,

$$p(-\omega) = p(\omega), q^{(2)}(\omega) = q^{(1)}(-\omega), \omega \in \mathbb{R}^2,$$

or equivalently,

$$[p, q^{(1)}, q^{(2)}]^T(-\omega) = M_0 [p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)]^T, \quad (12)$$

where

$$M_0 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}. \quad (13)$$

We hope to construct filter banks $\{p, q^{(1)}, q^{(2)}\}$ given by the product of appropriate block matrices. If we can write a symmetric FIR filter bank $[p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)]^T$ as a product $B(M^T \omega)[p_s(\omega), q_s^{(1)}(\omega), q_s^{(2)}(\omega)]^T$, where M is M_1 defined in (2), $B(\omega)$ is a 3×3 matrix whose entries are trigonometric polynomials, and $\{p_s, q_s^{(1)}, q_s^{(2)}\}$ is another FIR filter bank with 2-fold rotational symmetry, then (12) implies that $B(\omega)$ satisfies

$$B(-M^T \omega) = M_0 B(M^T \omega) M_0^{-1}, \quad (14)$$

where M_0 is the matrix defined by (13).

Denote

$$I_0(\omega) = [1, e^{-i\omega_1}, e^{i\omega_1}]^T. \quad (15)$$

Clearly, $I_0(\omega)$ satisfies (12). Therefore, 1-tap filter bank $\{1, e^{-i\omega_1}, e^{i\omega_1}\}$ has 2-fold rotational symmetry, and it could be used as the initial symmetric filter bank.

Denote

$$\begin{aligned} D_1(\omega) &= \text{diag}(1, e^{-i\omega_2}, e^{i\omega_2}), \\ D_2(\omega) &= \text{diag}(1, e^{-i(\omega_1+\omega_2)}, e^{i(\omega_1+\omega_2)}), \\ D_3(\omega) &= \text{diag}(1, e^{-i\omega_1}, e^{i\omega_1}). \end{aligned} \quad (16)$$

Then one can easily verify that $D_1(\omega), D_2(\omega), D_3(\omega), D_1(-\omega), D_2(-\omega), D_3(-\omega)$ satisfy (14), and thus they could be used to build the block matrices. Next we use $B(\omega) = BD(\omega)$ as the block matrix, where B is a 3×3 (real) constant matrix, and $D(\omega)$ is $D_j(\omega)$ or $D_j(-\omega)$ for some $j, 1 \leq j \leq 3$. Based on the above discussion, we know that $B(\omega) = BD(\omega)$ satisfies (14) if and only if B satisfies $M_0 B M_0^{-1} = B$, which is equivalent to that B has the form:

$$B = \begin{bmatrix} b_{11} & b_{12} & b_{12} \\ b_{21} & b_{22} & b_{23} \\ b_{21} & b_{23} & b_{22} \end{bmatrix}. \quad (17)$$

Thus we conclude that if $\{p, q^{(1)}, q^{(2)}\}$ is given by

$$[p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)]^T = \frac{1}{\sqrt{3}} B_n D(M^T \omega) B_{n-1} D(M^T \omega) \cdots B_1 D(M^T \omega) B_0 I_0(\omega) \quad (18)$$

for some $n \in \mathbf{Z}_+$, where $I_0(\omega)$ is defined by (15), $B_k, 0 \leq k \leq n$ are constant matrices of the form (17), and each $D(\omega)$ is $D_j(\omega)$ or $D_j(-\omega)$ for some $j, 1 \leq j \leq 3$, then $\{p, q^{(1)}, q^{(2)}\}$ is an FIR filter bank with 2-fold rotational symmetry.

Next, we show that the block structure in (18) yields 2-fold symmetric orthogonal FIR filter banks.

For an FIR filter bank $\{p, q^{(1)}, q^{(2)}\}$, denote $q^{(0)}(\omega) = p(\omega)$. Let $U(\omega)$ be a 3×3 matrix defined by $U(\omega) = [q^{(\ell)}(\omega + \eta_j)]_{0 \leq \ell, j \leq 2}$, where η_0, η_1, η_2 are given in (8). Then $\{p, q^{(1)}, q^{(2)}\}$ is orthogonal if $U(\omega)$ is unitary for all $\omega \in \mathbb{R}^2$, that is it satisfies

$$U(\omega)U(\omega)^* = I_3, \quad \omega \in \mathbb{R}^2. \quad (19)$$

Next, we write $q^{(\ell)}(\omega), 0 \leq \ell \leq 2$ as

$$q^{(\ell)}(\omega) = \frac{1}{\sqrt{3}} \left(q_0^{(\ell)}(M^T \omega) + q_1^{(\ell)}(M^T \omega) e^{-i\omega_1} + q_2^{(\ell)}(M^T \omega) e^{i\omega_1} \right),$$

where $q_k^{(\ell)}(\omega)$ are trigonometric polynomials. Let $V(\omega)$ denote the polyphase matrix (with dilation matrix M) of $\{p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)\}$:

$$V(\omega) = \begin{bmatrix} p_0(\omega) & p_1(\omega) & p_2(\omega) \\ q_0^{(1)}(\omega) & q_1^{(1)}(\omega) & q_2^{(1)}(\omega) \\ q_0^{(2)}(\omega) & q_1^{(2)}(\omega) & q_2^{(2)}(\omega) \end{bmatrix}. \quad (20)$$

From the facts that

$$[p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)]^T = \frac{1}{\sqrt{3}} V(M^T \omega) I_0(\omega),$$

where $I_0(\omega)$ is defined by (15), and that the 3×3 matrix $\frac{1}{\sqrt{3}}[I_0(\omega + 2\pi M^{-T}\eta_0), I_0(\omega + 2\pi M^{-T}\eta_1), I_0(\omega + 2\pi M^{-T}\eta_2)]$ is unitary for all $\omega \in \mathbb{R}^2$, we know that (19) holds if and only if $V(\omega)$ is unitary for all $\omega \in \mathbb{R}^2$, namely, $V(\omega)$ satisfies

$$V(\omega)V(\omega)^* = I_3, \quad \omega \in \mathbb{R}^2. \quad (21)$$

If $\{p, q^{(1)}, q^{(2)}\}$ is given by (18), then its polyphase matrix $V(\omega)$ is

$$V(\omega) = B_n D(\omega) B_{n-1} D(\omega) \cdots B_1 D(\omega) B_0.$$

Since each $D(\omega)$ is unitary, we know that if constant matrices $B_k, 0 \leq k \leq n$, are orthogonal, then $V(\omega)$ satisfies (21).

Next, we consider the orthogonality of a matrix B of the form (17). To this regard, let U denote the unitary matrix:

$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ 0 & \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{bmatrix}.$$

Then

$$UBU^* = \begin{bmatrix} b_{11} & \sqrt{2}b_{12} & 0 \\ \sqrt{2}b_{21} & b_{22} + b_{23} & 0 \\ 0 & 0 & b_{22} - b_{23} \end{bmatrix}.$$

Thus B is orthogonal if and only if $\begin{bmatrix} b_{11} & \sqrt{2}b_{12} \\ \sqrt{2}b_{21} & b_{22} + b_{23} \end{bmatrix}$ is orthogonal and $b_{22} + b_{23} = \pm 1$, which implies that b_{ij} can be written as

$$\begin{aligned} b_{11} &= s_0 \cos \theta, \quad b_{12} = \frac{1}{\sqrt{2}} \sin \theta, \quad b_{21} = \frac{s_0}{\sqrt{2}} \sin \theta, \\ b_{22} + b_{23} &= -\cos \theta, \quad b_{22} - b_{23} = s_1, \end{aligned} \quad (22)$$

where $s_0 = \pm 1, s_1 = \pm 1, \theta \in \mathbb{R}$. Thus an orthogonal matrix B of the form (17) has one parameter. If we choose $s_0 = 1, s_1 = 1$ and write $\cos \theta = \frac{1-t^2}{1+t^2}, \sin \theta = \frac{2t}{1+t^2}$, then we have

$$b_{11} = \frac{1-t^2}{1+t^2}, \quad b_{12} = b_{21} = \frac{\sqrt{2}t}{1+t^2}, \quad b_{22} = \frac{t^2}{1+t^2}, \quad b_{23} = \frac{1}{1+t^2}. \quad (23)$$

We have therefore the following theorem.

Theorem 1: Suppose $\{p, q^{(1)}, q^{(2)}\}$ is given by (18). If each $B_k, 0 \leq k \leq n$ is of the form (17) and its entries b_{ij} are given by (22) for some θ_k , then $\{p, q^{(1)}, q^{(2)}\}$ is an orthogonal FIR filter bank with 2-fold rotational symmetry.

With such a family of orthogonal FIR filter banks, by selecting the free parameters, one can design the filters with desirable properties. Here we consider the filters based on the Sobolev smoothness of the associated scaling functions ϕ . We say ϕ to be in the Sobolev space W^s for some $s > 0$ if ϕ satisfies $\int_{\mathbb{R}^2} (1 + |\omega|^2)^s |\hat{\phi}(\omega)|^2 d\omega < \infty$. To assure that $\phi \in W^s$, the associated FIR lowpass filter $p(\omega)$ has sum rules of certain order. $p(\omega)$ is said to have *sum rule order m* (with dilation matrix M) provided that $p(0, 0) = 1$ and

$$D_1^{\alpha_1} D_2^{\alpha_2} p(2\pi M^{-T}\eta_j) = 0, \quad 1 \leq j \leq 2, \quad (24)$$

for all $(\alpha_1, \alpha_2) \in \mathbf{Z}_+^2$ with $\alpha_1 + \alpha_2 < m$, where $\eta_j, 1 \leq j \leq 2$, are defined by (8), D_1 and D_2 denote the partial derivatives with the first and second variables of $p(\omega)$ respectively. Under some condition, sum rule order is equivalent to the approximation order of ϕ , see [48]. The Sobolev smoothness of ϕ can be given by the eigenvalues of the so-called transition operator matrix T_p associated with the lowpass filter p , see [49], [50]. The reader refers to [26] for the algorithms and Matlab routines to find the Sobolev smoothness order.

We find that if the orthogonal filter bank $\{p, q^{(1)}, q^{(2)}\}$ is given by (18) with $n = 0$ or $n = 1$, then the lowpass filter $p(\omega)$ cannot achieve sum rule order 2, and hence, the smoothness order of ϕ is very low. In the following two examples, we consider the filter banks with $n = 2$ and $n = 3$.

Example 1: Let $\{p, q^{(1)}, q^{(2)}\}$ be the orthogonal filter bank with 2-fold rotational symmetry given by (18) for $n = 2$: $B_2 D_2(M^T \omega) B_1 D_1(M^T \omega) B_0 I_0(\omega)$, where D_1, D_2 are defined in (16), B_0, B_1 and B_2 are orthogonal

matrices of the form (17) with their entries b_{ij} given by (23) for parameters t_0, t_1 and t_2 respectively. The lowpass filter $p(\omega)$ depends on these three parameters t_0, t_1 and t_2 . By solving the equations for sum rule order 2, we get

$$t_0 = -\frac{\sqrt{2}}{2}(3 + \sqrt{19}), \quad t_1 = \frac{\sqrt{2}}{6}(-5 + \sqrt{19}), \quad t_2 = -\frac{\sqrt{2}}{2}(5 + 3\sqrt{3}).$$

The resulting scaling function ϕ with $M = M_1$ is in $W^{0.79282}$. In Appendix A, we provide the resulting coefficients $p_k, q_k^{(1)}, q_k^{(2)}$. The pictures for ϕ and $\psi^{(1)}$ are shown in Fig. 8

From Remark 1, this resulting filter bank is orthogonal with dilation matrix M_2 . Furthermore, one can verify that the resulting $p(\omega)$ also has sum rule order 2 with M_2 . We find that the associated scaling function (with dilation M_2) is in $W^{0.80115}$. \diamond

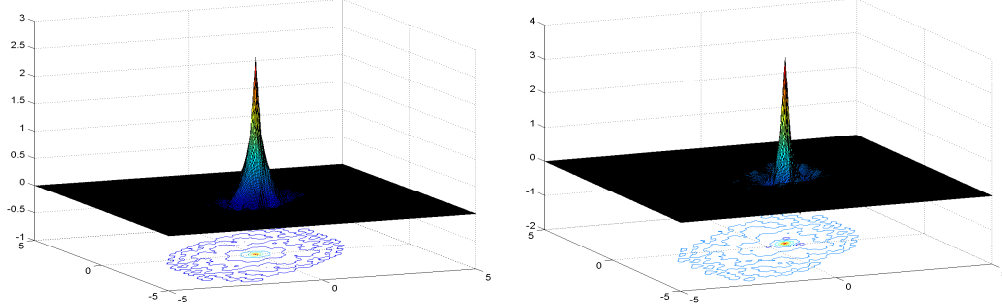


Fig. 8. ϕ (left) and $-\psi^{(1)}$ (right)

Example 2: Let $\{p, q^{(1)}, q^{(2)}\}$ be the orthogonal filter bank with 2-fold rotational symmetry given by (18) for $n = 3$: $B_3 D_1(M^T \omega) B_2 D_2(M^T \omega) B_1 D_1(M^T \omega) B_0 I_0(\omega)$, where D_1, D_2 are defined in (16), B_0, B_1, B_2 and B_3 are orthogonal matrices of the form (17) with their entries b_{ij} given by (23) for parameters t_0, t_1, t_2 and t_3 respectively. If we choose,

$$\begin{aligned} t_0 &= -3.96188283176253, \quad t_1 = -0.09286132100086, \\ t_2 &= -5.26430640532092, \quad t_3 = 0.04994199850331, \end{aligned}$$

then the resulting $p(\omega)$ has sum rule order 2 (with both dilation matrices M_1 and M_2). The corresponding scaling function ϕ with $M = M_1$ is in $W^{0.84094}$, and that with $M = M_2$ is in $W^{1.06523}$. \diamond

The orthogonal FIR filter banks given by (18) with more blocks $B_k D(M^T \omega)$ will produce wavelets with small increments of smoothness order. Similar to orthogonal dyadic refinement and $\sqrt{7}$ -refinement hexagonal wavelets, we find it is also hard to construct orthogonal $\sqrt{3}$ -refinement wavelets with high smoothness order. In the next section, we consider biorthogonal FIR filter banks, which give us some flexibility for the construction of PR filter banks.

In the rest of this section, we apply the filter bank in Example 1 to a hexagonally sampled image in the left part of Fig. 9. This is a part of the hexagonal image re-sampled from a 512×512 squarely sampled image Lena by the bilinear interpolation in [6]. The decomposed images (when $M = M_1$) with the lowpass filter p and highpass filters $q^{(1)}, q^{(2)}$ are shown in the right part of Fig. 9 and in Fig. 10 respectively. These images are indeed rotated 30° with respect to the original image.

IV. BIORTHOGONAL $\sqrt{3}$ -REFINEMENT WAVELETS WITH 6-FOLD SYMMETRY

In this section we consider the construction of biorthogonal $\sqrt{3}$ -refinement FIR filter banks with 6-fold symmetry and the associated wavelets. In §IV.A, we present a characterization of symmetric filter banks. In §IV.B, we provide a family of 6-fold symmetric biorthogonal $\sqrt{3}$ -refinement FIR filter banks and discuss the construction of the associated wavelets.

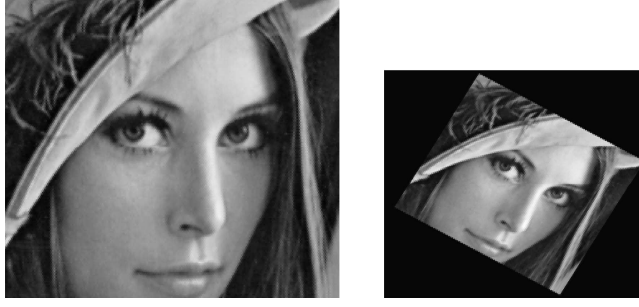


Fig. 9. *Left: Original (hexagonal) image; Right: Decomposed image with lowpass filter p*

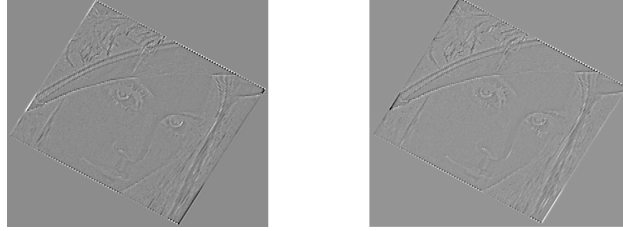


Fig. 10. *Decomposed images with highpass filters $q^{(1)}$ (left) and $q^{(2)}$ (right)*

A. 6-fold axial symmetry

Let

$$L_0 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, L_1 = \begin{bmatrix} 1 & 0 \\ 1 & -1 \end{bmatrix}, L_2 = \begin{bmatrix} 1 & -1 \\ 0 & -1 \end{bmatrix}, \quad (25)$$

$$L_3 = -L_0, L_4 = -L_1, L_5 = -L_2,$$

and denote

$$R_1 = \begin{bmatrix} 0 & 1 \\ -1 & 1 \end{bmatrix}.$$

Then for a j , $0 \leq j \leq 5$, $\{p_{\mathbf{k}}\}$ is symmetric around the symmetry axis S_j in Fig. 7 if and only if $p_{L_j \mathbf{k}} = p_{\mathbf{k}}$; and $\{p_{R_1 \mathbf{k}}\}$ is the $\frac{\pi}{3}$ (anticlockwise) rotation of $\{p_{\mathbf{k}}\}$.

Observe that

$$L_j = (R_1)^j L_0, \quad 0 \leq j \leq 5.$$

Thus, instead of considering all $L_j, 0 \leq j \leq 5$, we need only consider L_0, R_1 when we discuss the 6-fold axial symmetry of a filter bank. First we have the following proposition.

Proposition 1: A filter bank $\{p, q^{(1)}, q^{(2)}\}$ has 6-fold axial symmetry if and only if it satisfies

$$[p, q^{(1)}, q^{(2)}]^T (R_1^{-T} \boldsymbol{\omega}) = N_1(\boldsymbol{\omega}) [p, q^{(1)}, q^{(2)}]^T (\boldsymbol{\omega}), \quad (26)$$

$$[p, q^{(1)}, q^{(2)}]^T (L_0 \boldsymbol{\omega}) = N_2(\boldsymbol{\omega}) [p, q^{(1)}, q^{(2)}]^T (\boldsymbol{\omega}), \quad (27)$$

where

$$N_1(\boldsymbol{\omega}) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & e^{-i(2\omega_1 + \omega_2)} \\ 0 & e^{i(2\omega_1 + \omega_2)} & 0 \end{bmatrix}, \quad N_2(\boldsymbol{\omega}) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & e^{i(\omega_1 - \omega_2)} & 0 \\ 0 & 0 & e^{-i(\omega_1 - \omega_2)} \end{bmatrix}. \quad (28)$$

Proof. For a filter bank $\{p, q^{(1)}, q^{(2)}\}$, let $h^{(1)}(\boldsymbol{\omega}) = e^{i\omega_1} q^{(1)}(\boldsymbol{\omega})$, $h^{(2)}(\boldsymbol{\omega}) = e^{-i\omega_1} q^{(2)}(\boldsymbol{\omega})$. Then with the fact $L_j = R_1^j L_0, 0 \leq j \leq 5$, we know $\{p, q^{(1)}, q^{(2)}\}$ has 6-fold axial symmetry if and only if

$$p(R_1^{-T} \boldsymbol{\omega}) = p(L_0 \boldsymbol{\omega}) = p(\boldsymbol{\omega}), \quad (29)$$

$$h^{(1)}((R_1^{-T})^2 \boldsymbol{\omega}) = h^{(1)}((R_1^{-T})^4 \boldsymbol{\omega}) = h^{(1)}(L_0 \boldsymbol{\omega}) = h^{(1)}(\boldsymbol{\omega}), \quad (30)$$

$$h^{(2)}(-\boldsymbol{\omega}) = h^{(1)}(\boldsymbol{\omega}). \quad (31)$$

Observe that $R_1^3 = -I_2$. This fact and (30) and (31) lead to that

$$\begin{aligned} h^{(1)}(R_1^{-T}\omega) &= h^{(1)}(-(R_1^{-T})^4\omega) = h^{(1)}(-\omega) = h^{(2)}(\omega), \\ h^{(2)}(R_1^{-T}\omega) &= h^{(2)}(-(R_1^{-T})^4\omega) = h^{(1)}((R_1^{-T})^4\omega) = h^{(1)}(\omega), \\ h^{(2)}(L_0\omega) &= h^{(1)}(-L_0\omega) = h^{(1)}(-\omega) = h^{(2)}(\omega). \end{aligned}$$

Conversely, one can check that $h^{(1)}(R_1^{-T}\omega) = h^{(2)}(\omega)$, $h^{(2)}(R_1^{-T}\omega) = h^{(1)}(\omega)$ and $h^{(2)}(L_0\omega) = h^{(2)}(\omega)$ imply (30) and (31). Therefore, $\{p, q^{(1)}, q^{(2)}\}$ has 6-fold axial symmetry if and only if

$$[p, h^{(1)}, h^{(2)}]^T(R_1^{-T}\omega) = [p, h^{(2)}, h^{(1)}]^T(\omega), \quad (32)$$

$$[p, h^{(1)}, h^{(2)}]^T(L_0\omega) = [p, h^{(1)}, h^{(2)}]^T(\omega). \quad (33)$$

With $h^{(1)}(\omega) = e^{i\omega_1}q^{(1)}(\omega)$, $h^{(2)}(\omega) = e^{-i\omega_1}q^{(2)}(\omega)$, one can easily show that (26) and (27) are equivalent to (32) and (33). Hence, $\{p, q^{(1)}, q^{(2)}\}$ has 6-fold axial symmetry if and only if (26) and (27) hold, as desired. \diamond

Let $M = M_1$. For an FIR filter bank $\{p, q^{(1)}, q^{(2)}\}$, let $V(\omega)$ be its polyphase matrix (with $M = M_1$) defined by (20). Based on Proposition 1, we reach the following proposition which gives the characterization of the 6-fold axial symmetry of a filter bank in terms of the corresponding polyphase matrix.

Proposition 2: An FIR filter bank $\{p, q^{(1)}, q^{(2)}\}$ has 6-fold axial symmetry if and only if its polyphase matrix $V(\omega)$ (with dilation matrix $M = M_1$) satisfies

$$V(R_1^{-T}\omega) = N_0(\omega)V(\omega)N_0(\omega), \quad (34)$$

$$V(L_0\omega) = J_0V(\omega)J_0, \quad (35)$$

where

$$N_0(\omega) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & e^{-i\omega_1} \\ 0 & e^{i\omega_1} & 0 \end{bmatrix}, \quad J_0 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}. \quad (36)$$

Proof. By the definition of $V(\omega)$, we have

$$[p, q^{(1)}, q^{(2)}](R_1^{-T}\omega) = \frac{1}{\sqrt{3}}V(M^T R_1^{-T}\omega)I_0(R_1^{-T}\omega) = \frac{1}{\sqrt{3}}V(M^T R_1^{-T}\omega)N_1(\omega)I_0(\omega).$$

Thus (26) is equivalent to

$$\frac{1}{\sqrt{3}}V(M^T R_1^{-T}\omega)N_1(\omega)I_0(\omega) = N_1(\omega)\frac{1}{\sqrt{3}}V(M^T\omega)I_0(\omega),$$

or equivalently,

$$V(M^T R_1^{-T}\omega)N_1(\omega) = N_1(\omega)V(M^T\omega).$$

The fact $M^T R_1^{-T} = R_1^{-T} M^T$ ($M = M_1$) leads to that the above equality is

$$V(R_1^{-T} M^T\omega)N_1(\omega) = N_1(\omega)V(M^T\omega),$$

or,

$$V(R_1^{-T}\omega) = N_1(M^{-T}\omega)V(\omega)N_1(M^{-T}\omega),$$

which is (34) because of the fact $N_1(M^{-T}\omega) = N_0(\omega)$.

Similarly as above, we have that (27) is equivalent to

$$V(M^T L_0\omega) = N_2(\omega)V(M^T\omega)N_2(\omega)^{-1}.$$

From $M^T L_0 = R_1^{-T} L_0 M^T$ (when $M = M_1$), we know that the above equality is

$$V(R_1^{-T} L_0 M^T\omega) = N_2(\omega)V(M^T\omega)N_2(\omega)^{-1},$$

or,

$$V(R_1^{-T} L_0\omega) = N_2(M^{-T}\omega)V(\omega)N_2(M^{-T}\omega)^T,$$

which in turn is equivalent to (under the assumption (34))

$$\begin{aligned} V(L_0\omega) &= N_0(L_0\omega)V(R_1^{-T}L_0\omega)(L_0\omega) \\ &= N_0(L_0\omega)N_2(M^{-T}\omega)V(\omega)N_2(M^{-T}\omega)^TN_0(L_0\omega)^{-1} = J_0V(\omega)J_0. \end{aligned}$$

Therefore, (26) and (27) are equivalent to (34) and (35). \diamond

In the next subsection, based on the characterization in Proposition 2 for the 6-fold symmetry of filter banks, we provide a family of biorthogonal FIR filter banks with such a type of symmetry.

B. Biorthogonal $\sqrt{3}$ -refinement wavelets

In this subsection we use the notations:

$$x = e^{-i\omega_1}, \quad y = e^{-i\omega_2}.$$

Thus an FIR filter $p(\omega)$ can be written as a polynomial of x, y . Denote

$$W(\omega) = \begin{bmatrix} d + c(x + xy + y + \frac{1}{x} + \frac{1}{xy} + \frac{1}{y}) & a(1 + \frac{1}{x} + y) & a(1 + x + \frac{1}{y}) \\ \frac{c}{2a}(1 + x + \frac{1}{y}) & 1 & 0 \\ \frac{c}{2a}(1 + \frac{1}{x} + y) & 0 & 1 \end{bmatrix}, \quad (37)$$

where a, c, d are constants with $a \neq 0, d \neq 3c$. Next we use $W(\omega)$ to build a block structure of biorthogonal FIR filter banks with 6-fold symmetry. The motivation for the choice of $W(\omega)$ is based on the following observation. Let $[p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)]^T = \frac{1}{3}W(M^T\omega)I_0(\omega)$, where $I_0(\omega)$ is defined by (15). Then the nonzero coefficients p_k and $q_k^{(1)}$ are shown in Fig. 11 with $f = \frac{c}{2a}$. Clearly this filter bank $\{p, q^{(1)}, q^{(2)}\}$ has 6-fold axial symmetry. (One may verify directly that its polyphase matrix $W(\omega)$ satisfies (34) and (35)). If $a = \frac{1}{3}, d = \frac{2}{3}, c = \frac{1}{18}$, then the corresponding $\{p_k\}$ is the subdivision mask constructed in [24].

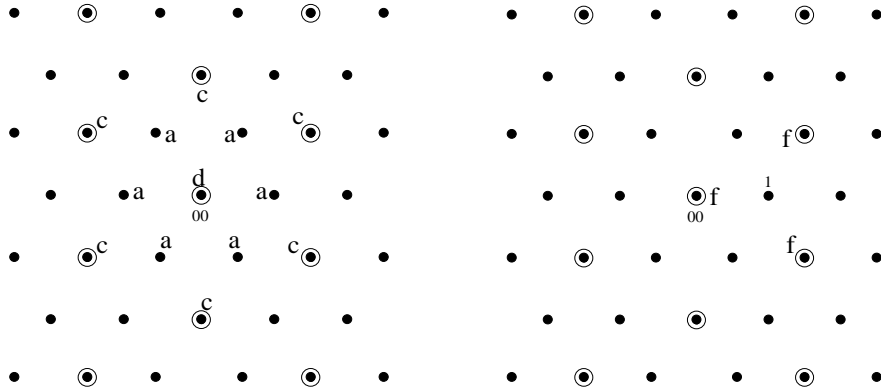


Fig. 11. Left: lowpass filter coefficients p_k ; Right: highpass filter coefficients $q_k^{(1)}$ with $f = \frac{c}{2a}$

Except for the property that $W(\omega)$ produces a 6-fold symmetry filter bank, $W(\omega)$ has another important property: the determinant of $W(\omega)$ is $d - 3c$, a nonzero constant. Thus, the inverse of $W(\omega)$ is a matrix whose entries are also polynomials of x, y . More precisely, $\widetilde{W}(\omega) = (W(\omega)^{-1})^*$ is given by

$$\widetilde{W}(\omega) = \frac{1}{d-3c} \times \begin{bmatrix} 1 & -\frac{c}{2a}(1 + \frac{1}{x} + y) & -\frac{c}{2a}(1 + x + \frac{1}{y}) \\ -a(1 + x + \frac{1}{y}) & d - \frac{3}{2}c + \frac{c}{2}(x + xy + y + \frac{1}{x} + \frac{1}{xy} + \frac{1}{y}) & \frac{c}{2}(1 + x + \frac{1}{y})^2 \\ -a(1 + \frac{1}{x} + y) & \frac{c}{2}(1 + \frac{1}{x} + y)^2 & d - \frac{3}{2}c + \frac{c}{2}(x + xy + y + \frac{1}{x} + \frac{1}{xy} + \frac{1}{y}) \end{bmatrix}. \quad (38)$$

Hence, $\{p, q^{(1)}, q^{(2)}\}$ has a biorthogonal FIR filter bank $\{\widetilde{p}, \widetilde{q}^{(1)}, \widetilde{q}^{(2)}\}$ defined by $[\widetilde{p}(\omega), \widetilde{q}^{(1)}(\omega), \widetilde{q}^{(2)}(\omega)]^T = \widetilde{W}(M^T\omega)I_0(\omega)$. In addition, one can check directly (or from the fact $W(\omega)$ satisfies (34) and (35)) that $W(\omega)$ satisfies (34) and (35). Thus, $\{\widetilde{p}, \widetilde{q}^{(1)}, \widetilde{q}^{(2)}\}$ also has 6-fold axial symmetry. More general, we have the following result.

Theorem 2: Suppose FIR filter banks $\{p, q^{(1)}, q^{(2)}\}$ and $\{\tilde{p}, \tilde{q}^{(1)}, \tilde{q}^{(2)}\}$ are given by

$$\begin{aligned} [p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)]^T &= U_n(M^T \omega) U_{n-1}(M^T \omega) \cdots U_0(M^T \omega) I_0(\omega), \\ [\tilde{p}(\omega), \tilde{q}^{(1)}(\omega), \tilde{q}^{(2)}(\omega)]^T &= \frac{1}{3} \tilde{U}_n(M^T \omega) \tilde{U}_{n-1}(M^T \omega) \cdots \tilde{U}_0(M^T \omega) I_0(\omega) \end{aligned} \quad (39)$$

for some $n \in \mathbf{Z}_+$, where $I_0(\omega)$ is defined by (15), each $U_k(\omega)$ is a $W(\omega)$ in (37) or a $\tilde{W}(\omega)$ in (38) for some parameters a_k, b_k, d_k , and $\tilde{U}_k(\omega) = (U_k(\omega)^{-1})^*$ is the corresponding $\tilde{W}(\omega)$ in (38) or $W(\omega)$ in (37), then $\{p, q^{(1)}, q^{(2)}\}$ and $\{\tilde{p}, \tilde{q}^{(1)}, \tilde{q}^{(2)}\}$ are biorthogonal FIR filter bank with 6-fold axial symmetry.

Next we consider the construction of biorthogonal $\sqrt{3}$ -refinement wavelets based on the symmetric biorthogonal FIR filter banks given in (39). When we construct biorthogonal wavelets, we will intently construct the synthesis scaling function $\tilde{\phi}$ to have a higher smoothness order, and the analysis scaling function ϕ to have a higher approximation order (or equivalently the analysis lowpass filter $p(\omega)$ to have a higher sum rule order). Approximation property of ϕ is very important for applications, see e.g. [51], [52]. If ϕ has approximation order K , then the decomposition algorithm with lowpass filter $p(\omega)$ preserves (discrete) polynomials of order K , and the decomposition algorithm with highpass filters $q^{(1)}(\omega), q^{(2)}(\omega)$ annihilates (discrete) polynomials of order K . Smoothness of $\tilde{\phi}$ is in general more important than that for ϕ since certain smoothness of $\tilde{\phi}$ is required to assure the reconstructed image to have nice visual quality.

First, we consider the filter banks given by (39) with $n = 0$. Let $[p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)]^T = \tilde{W}_0(M^T \omega) I_0(\omega)$ and $[\tilde{p}(\omega), \tilde{q}^{(1)}(\omega), \tilde{q}^{(2)}(\omega)]^T = \frac{1}{3} W_0(M^T \omega) I_0(\omega)$, where $\tilde{W}_0(\omega)$ and $W_0(\omega)$ are given by (38) and (37) respectively for some parameters a_0, c_0, d_0 . By solving the equations of sum rule order 1 for $\tilde{p}(\omega)$, we have

$$a_0 = \frac{1}{3}, \quad d_0 = 1 - 6c_0. \quad (40)$$

The resulting $\tilde{p}(\omega)$ actually has sum rule order 2 (the conditions in (24) for $\tilde{p}(\omega)$ with $(\alpha_1, \alpha_2) = (1, 0)$ and $(\alpha_1, \alpha_2) = (0, 1)$ are automatically satisfied because of the symmetry of $\tilde{p}(\omega)$). If in addition, we choose $c_0 = \frac{1}{18}$, then $\tilde{p}(\omega)$ has sum rule order 3. This $\tilde{p}(\omega)$ is the subdivision mask in [24]. However, in this case the resulting $p(\omega)$ does not have sum rule order 1. With a_0, d_0 given by (40) for some c_0 , by solving the equations of sum rule order 1 for $p(\omega)$, we have $c_0 = -\frac{2}{9}$. However, in this case the corresponding $\tilde{\phi}$ is not in $L^2(\mathbb{R}^2)$. Thus, the filter banks in (39) with $n = 0$ cannot generate scaling functions ϕ and $\tilde{\phi}$ such that both of them are in $L^2(\mathbb{R}^2)$, and hence, these filter banks cannot generate biorthogonal wavelets.

Example 3: Let $\{p, q^{(1)}, q^{(2)}\}$ and $\{\tilde{p}, \tilde{q}^{(1)}, \tilde{q}^{(2)}\}$ be the biorthogonal filter banks given by (39) for $n = 1$ with

$$\begin{aligned} [p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)]^T &= W_1(M^T \omega) \tilde{W}_0(M^T \omega) I_0(\omega), \\ [\tilde{p}(\omega), \tilde{q}^{(1)}(\omega), \tilde{q}^{(2)}(\omega)]^T &= \frac{1}{3} \tilde{W}_1(M^T \omega) W_0(M^T \omega) I_0(\omega), \end{aligned}$$

where $\tilde{W}_0(\omega), \tilde{W}_1(\omega)$, and $W_0(\omega), W_1(\omega)$ are given by (38) and (37) for some parameters a_0, c_0, d_0 and a_1, c_1, d_1 respectively.

We notice that the smoothness of $\phi, \tilde{\phi}$ is independent of some parameters, e.g. d_1 . In the following we let $d_1 = 0$. If

$$a = \frac{c_1(1 - 2a_1)}{2a_1}, \quad c = \frac{c_1(9a_1 - 1)(1 - 2a_1)}{3a_1}, \quad d = \frac{c_1(36a_1^2 + 2a_1 - 1)}{a_1},$$

then both $p(\omega)$ and $\tilde{p}(\omega)$ have sum rule order 2. If we choose $a_1 = \frac{8}{81}, c_1 = 1$, then the resulting ϕ is in $W^{0.1289}$, and $\tilde{\phi}$ in $W^{1.3474}$; while if we choose $a_1 = \frac{1}{10}, c_1 = 1$, then the resulting $\phi \in W^{0.0911}$, and $\tilde{\phi} \in W^{1.3777}$. One may choose other values for a_1, c_1 such that the resulting $\tilde{\phi}$ is smoother. But $\tilde{\phi}$ can only gain very slight increments of smoothness order if its dual ϕ is in $L^2(\mathbb{R}^2)$. \diamond

Example 4: Let $\{p, q^{(1)}, q^{(2)}\}$ and $\{\tilde{p}, \tilde{q}^{(1)}, \tilde{q}^{(2)}\}$ be the biorthogonal filter banks given by (39) for $n = 1$ with

$$\begin{aligned} [p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)]^T &= \tilde{W}_1(M^T \omega) \tilde{W}_0(M^T \omega) I_0(\omega), \\ [\tilde{p}(\omega), \tilde{q}^{(1)}(\omega), \tilde{q}^{(2)}(\omega)]^T &= \frac{1}{3} W_1(M^T \omega) W_0(M^T \omega) I_0(\omega), \end{aligned}$$

where $\widetilde{W}_0(\omega)$, $\widetilde{W}_1(\omega)$, and $W_0(\omega)$, $W_1(\omega)$ are given by (38) and (37) for some parameters a_0, c_0, d_0 and a_1, c_1, d_1 respectively. In this case, \widetilde{p} has a larger filter length than p . We will use $\{\widetilde{p}, \widetilde{q}^{(1)}, \widetilde{q}^{(2)}\}$ as the analysis filter bank (for multiresolution decomposition algorithm) and use $\{p, q^{(1)}, q^{(2)}\}$ as the synthesis filter bank (for multiresolution reconstruction algorithm). Hence, we will construct ϕ to be smoother than its dual $\widetilde{\phi}$.

If

$$a_1 = \frac{3a - d - 6c}{3(3c - d)}, \quad c_1 = \frac{(3c + 2a)(6c + d - 3a)}{9a(3c - d)(6c + 6a + d)}, \quad d_1 = \frac{3c - 18acc_1 + 6adc_1 - a}{a(3c - d)},$$

then both $p(\omega)$ and $\widetilde{p}(\omega)$ have sum rule order 2. If in addition,

$$d = -\frac{3}{a}(4a^2 + 11ca + 9c^2),$$

then $p(\omega)$ has sum rule order 3. There are two free parameters a, c . (We cannot choose a, c further such that $\widetilde{p}(\omega)$ also has sum rule order 3.) With many choices of different values for a, c , the resulting $\phi \in C^1$ while $\widetilde{\phi}$ has certain smoothness order. For example, if we choose $a = -\frac{1}{3}, c = 2$, then the corresponding $\widetilde{\phi} \in W^{0.0758}$ and $\phi \in W^{2.3426}$; with $a = -\frac{1}{3}, c = 1$, the resulting $\widetilde{\phi} \in W^{0.3284}$ and $\phi \in W^{2.2354}$; and if

$$a = -\frac{1}{3}, \quad c = \frac{1}{2}, \quad (41)$$

then $\widetilde{\phi} \in W^{1.0507}, \phi \in W^{1.9145}$. In Appendix B we provide the corresponding biorthogonal filter banks, denoted as $\text{Bio}_{(6,8)}$, when a, c are given by (41). In this case, the corresponding d, a_1, c_1, d_1 defined above for sum rule orders are

$$d = \frac{31}{4}, \quad a_1 = \frac{47}{75}, \quad c_1 = \frac{94}{1575}, \quad d_1 = \frac{274}{525}. \diamond$$

Except for $W(\omega)$ and $\widetilde{W}(\omega)$, we may use other matrices as blocks to build the biorthogonal filter banks. For example, we may use

$$Z(\omega) = \begin{bmatrix} 1 & e_0(1 + \frac{1}{x} + y) + e_1(xy + \frac{1}{xy} + \frac{y}{x}) & e_0(1 + x + \frac{1}{y}) + e_1(xy + \frac{1}{xy} + \frac{x}{y}) \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad (42)$$

where e_0, e_1 are constants. For $Z(\omega)$ defined by (42), $\widetilde{Z}(\omega) = (Z(\omega)^{-1})^*$ is given by

$$\widetilde{Z}(\omega) = \begin{bmatrix} 1 & 0 & 0 \\ -e_0(1 + x + \frac{1}{y}) - e_1(xy + \frac{1}{xy} + \frac{x}{y}) & 1 & 0 \\ -e_0(1 + \frac{1}{x} + y) - e_1(1 + \frac{1}{xy} + \frac{y}{x}) & 0 & 1 \end{bmatrix}. \quad (43)$$

Clearly both $Z(\omega)$ and $\widetilde{Z}(\omega)$ satisfy (34) and (35). Thus if $\{p, q^{(1)}, q^{(2)}\}$ and $\{\widetilde{p}, \widetilde{q}^{(1)}, \widetilde{q}^{(2)}\}$ are given by (39) for some $n \in \mathbf{Z}_+$ with each $U_k(\omega)$ is a $W(\omega)$ in (37), a $\widetilde{W}(\omega)$ in (38), a $Z(\omega)$ in (42), or a $\widetilde{Z}(\omega)$ in (43), and $\widetilde{U}_k(\omega) = (U_k(\omega)^{-1})^*$ is the corresponding $\widetilde{W}(\omega)$ ($W(\omega)$, $\widetilde{Z}(\omega)$, or $Z(\omega)$ accordingly), then $\{p, q^{(1)}, q^{(2)}\}$ and $\{\widetilde{p}, \widetilde{q}^{(1)}, \widetilde{q}^{(2)}\}$ are biorthogonal FIR filter bank with 6-fold axial symmetry.

For a pair of filter banks $\{p_s, q_s^{(1)}, q_s^{(2)}\}$ and $\{\widetilde{p}_s, \widetilde{q}_s^{(1)}, \widetilde{q}_s^{(2)}\}$, using

$$\begin{aligned} [p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)]^T &= L(M^T \omega)[p_s(\omega), q_s^{(1)}(\omega), q_s^{(2)}(\omega)]^T, \\ [\widetilde{p}(\omega), \widetilde{q}^{(1)}(\omega), \widetilde{q}^{(2)}(\omega)]^T &= \widetilde{L}(M^T \omega)[\widetilde{p}_s(\omega), \widetilde{q}_s^{(1)}(\omega), \widetilde{q}_s^{(2)}(\omega)]^T, \end{aligned}$$

where $L(\omega) = Z(\omega)$ and $\widetilde{L}(\omega) = \widetilde{Z}(\omega)$ or $L(\omega) = \widetilde{Z}(\omega)$ and $\widetilde{L}(\omega) = Z(\omega)$, to build another pair of filter banks $\{p, q^{(1)}, q^{(2)}\}$ and $\{\widetilde{p}, \widetilde{q}^{(1)}, \widetilde{q}^{(2)}\}$ is called the lifting scheme method. See [53] for the lifting scheme method to construct biorthogonal filter banks (see also [54] for the similar concept of the lifting scheme). Next, as an example, we show how $W(\omega)$ and $Z(\omega)$ reach some interesting biorthogonal filter banks, including those constructed in [41] (for regular nodes).

Example 5: Let $\{p, q^{(1)}, q^{(2)}\}$ and $\{\tilde{p}, \tilde{q}^{(1)}, \tilde{q}^{(2)}\}$ be the biorthogonal filter banks given by

$$\begin{aligned} [p(\omega), q^{(1)}(\omega), q^{(2)}(\omega)]^T &= Z(M^T \omega) \widetilde{W}(M^T \omega) I_0(\omega), \\ [\tilde{p}(\omega), \tilde{q}^{(1)}(\omega), \tilde{q}^{(2)}(\omega)]^T &= \frac{1}{3} \tilde{Z}(M^T \omega) W(M^T \omega) I_0(\omega), \end{aligned}$$

where $W(\omega)$, $\widetilde{W}(\omega)$, $Z(\omega)$, and $\tilde{Z}(\omega)$ are given by (37), (38), (42), and (43) for some parameters a, b, d and e_0, e_1 respectively.

For this pair of filter banks, the synthesis lowpass filter $\tilde{p}(\omega)$ has its coefficients given in the left part of Fig. 11 for some a, c, d . By solving the equations for sum rule order 1 for $\tilde{p}(\omega)$, we have

$$a = \frac{1}{3}, \quad d = 1 - 6c. \quad (44)$$

Again, the resulting $\tilde{p}(\omega)$ actually has sum rule order 2 (the conditions of sum rule order 2 for $\tilde{p}(\omega)$ are automatically satisfied). Then by solving the equations of sum rule order 1 for $p(\omega)$, we have

$$e_0 = \frac{1}{9} + \frac{1}{2}c - e_1. \quad (45)$$

We find that with (45), the conditions of sum rule order 2 for $p(\omega)$ are also automatically satisfied. Thus, the resulting $p(\omega)$ also has sum rule order 2. If in addition,

$$e_1 = -\frac{5}{81} - \frac{1}{3}c - c^2, \quad (46)$$

then $p(\omega)$ has sum rule order 3.

If $c = \frac{1}{18}$ (and a, d are given in (44)), then the resulting $\tilde{p}(\omega)$ has sum rule order 3. As mentioned above, this $\tilde{p}(\omega)$ is the subdivision mask in [24] for surface subdivision. It was calculated in [26] that the corresponding $\tilde{\phi}$ is in $W^{2.9360}$. However, we find that for $c = \frac{1}{18}$, for any value e_1 (with e_0 given in (45)), the corresponding ϕ is not in $L^2(\mathbb{R}^2)$. (Paper [41] chooses two groups of values: $c = \frac{1}{18}$, $e_0 = 0.229537, e_1 = 0$, and $c = \frac{1}{18}$, $e_0 = 0.279682, e_1 = -0.142329$.) In the following we may choose other values for c . For example, if we choose c as (with a, d, e_0, e_1 defined by (44)-(46))

$$c = \frac{1}{37},$$

then the corresponding $\phi \in W^{0.0027}$ and $\tilde{\phi} \in W^{1.9344}$, and if

$$c = \frac{2}{81},$$

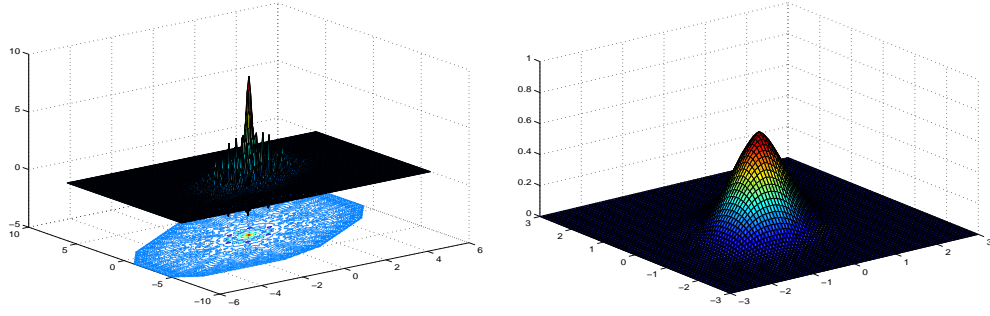
then the corresponding $\phi \in W^{0.0540}$ and $\tilde{\phi} \in W^{1.9184}$. If we remove the requirement (46) for sum rule order 3 of $p(\omega)$, then with

$$c = \frac{1}{27}, \quad e_1 = -\frac{1}{10},$$

the resulting $\phi \in W^{0.0104}$ and $\tilde{\phi} \in W^{1.9801}$. We check numerically that all the resulting scaling functions $\tilde{\phi}$ are in C^1 . The resulting biorthogonal filter banks, denoted as $\text{Bio}_{(8,4)}$, corresponding to $c = \frac{1}{27}, e_1 = -\frac{1}{10}$ are provided in Appendix C, and the resulting ϕ and $\tilde{\phi}$ are shown in Fig. 12. \diamond

V. CONCLUSION

In this paper we introduce $\sqrt{3}$ -refinement orthogonal hexagonal filter banks with 2-fold rotational symmetry and biorthogonal hexagonal filter banks with 6-fold axial symmetry. We obtain block structures of these filter banks. Based on these block structures, we construct compactly supported orthogonal and biorthogonal $\sqrt{3}$ -refinement hexagonal wavelets. Our future work is to apply these hexagonal filter banks and wavelets for hexagonal data processing applications such as image enhancement and edge detection. We will also compare the experiment results obtained by the $\sqrt{3}$ -refinement wavelets constructed in this paper with those obtained by the dyadic and $\sqrt{7}$ -refinement wavelets.

Fig. 12. *Left: ϕ ; Right: $\tilde{\phi}$*

APPENDIX A

Orthogonal filters in Example 1: with $\eta = \sqrt{19}$, $\xi = 3\sqrt{3} + 5$, $\zeta = 3\sqrt{3} - 5$,

$$\begin{aligned}
 & \begin{bmatrix} p_{-33} & \cdots & p_{03} & \cdots & p_{33} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ p_{-30} & \cdots & \mathbf{p}_{00} & \cdots & p_{30} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ p_{-3-3} & \cdots & p_{0-3} & \cdots & p_{3-3} \end{bmatrix} = \\
 & \frac{1}{486} \begin{bmatrix} 0 & 0 & 35 - 8\eta & 11\eta - 47 & 7\eta - 34 & 0 & 0 \\ 0 & 0 & 0 & 26 - 8\eta & -14 - 4\eta & 26 - 8\eta & 0 \\ 0 & 25\eta - 115 & 130 - 40\eta & 185 - 5\eta & 16\eta + 83 & -11 - 7\eta & \eta - 10 \\ 0 & 0 & 290 - 20\eta & \mathbf{80\eta + 370} & 290 - 20\eta & 0 & 0 \\ \eta - 10 & -11 - 7\eta & 16\eta + 83 & 185 - 5\eta & 130 - 40\eta & 25\eta - 115 & 0 \\ 0 & 26 - 8\eta & -14 - 4\eta & 26 - 8\eta & 0 & 0 & 0 \\ 0 & 0 & 7\eta - 34 & 11\eta - 47 & 35 - 8\eta & 0 & 0 \end{bmatrix}, \\
 & \begin{bmatrix} q_{-33}^{(1)} & \cdots & q_{03}^{(1)} & \cdots & q_{33}^{(1)} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ q_{-30}^{(1)} & \cdots & \mathbf{q}_{00}^{(1)} & \cdots & q_{30}^{(1)} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ q_{-3-3}^{(1)} & \cdots & q_{0-3}^{(1)} & \cdots & q_{3-3}^{(1)} \end{bmatrix} = \\
 & \frac{1}{972} \begin{bmatrix} 0 & 0 & \xi(8\eta - 35) & \xi(47 - 11\eta) & \xi(34 - 7\eta) & 0 & 0 \\ 0 & 0 & 0 & 2\xi(4\eta - 13) & 2\xi(2\eta + 7) & 2\xi(4\eta - 13) & 0 \\ 0 & -46 + 10\eta & 52 - 16\eta & 74 - 2\eta & -\xi(16\eta + 83) & \xi(7\eta + 11) & \xi(10 - \eta) \\ 0 & 0 & 116 - 8\eta & \mathbf{32\eta + 148} & 116 - 8\eta & 0 & 0 \\ \zeta(\eta - 10) & -\zeta(7\eta + 11) & \zeta(16\eta + 83) & 74 - 2\eta & 52 - 16\eta & -46 + 10\eta & 0 \\ 0 & 2\zeta(13 - 4\eta) & -2\zeta(7 + 2\eta) & 2\zeta(13 - 4\eta) & 0 & 0 & 0 \\ 0 & 0 & \zeta(7\eta - 34) & \zeta(11\eta - 47) & \zeta(35 - 8\eta) & 0 & 0 \end{bmatrix},
 \end{aligned}$$

and

$$q_{\mathbf{k}}^{(2)} = q_{-\mathbf{k}}^{(1)}, \quad \mathbf{k} \in \mathbf{Z}^2. \diamond$$

APPENDIX B

Biorthogonal filters for $\text{Bio}_{(6,8)}$ in Example 4:

$$\begin{aligned}
 & \begin{bmatrix} p_{-33} & \cdots & p_{03} & \cdots & p_{33} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ p_{-30} & \cdots & \mathbf{p}_{00} & \cdots & p_{30} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ p_{-3-3} & \cdots & p_{0-3} & \cdots & p_{3-3} \end{bmatrix} = \frac{1}{625} \begin{bmatrix} 0 & 0 & 0 & 0 & 18 & 18 & 0 \\ 0 & 0 & 18 & 36 & 24 & 36 & 18 \\ 0 & 18 & 24 & 351 & 351 & 24 & 18 \\ 0 & 36 & 351 & \mathbf{120} & 351 & 36 & 0 \\ 18 & 24 & 351 & 351 & 24 & 18 & 0 \\ 18 & 36 & 24 & 36 & 18 & 0 & 0 \\ 0 & 18 & 18 & 0 & 0 & 0 & 0 \end{bmatrix}, \\
 & \begin{bmatrix} q_{-23}^{(1)} & \cdots & q_{13}^{(1)} & \cdots & q_{43}^{(1)} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ q_{-20}^{(1)} & \cdots & \mathbf{q}_{10}^{(1)} & \cdots & q_{40}^{(1)} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ q_{-2-3}^{(1)} & \cdots & q_{1-3}^{(1)} & \cdots & q_{4-3}^{(1)} \end{bmatrix} = \frac{1}{16} \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & -6 & 0 \\ 0 & 0 & 0 & -12 & 4 & 4 & -6 \\ 0 & -6 & 4 & 8 & -117 & 4 & 0 \\ 0 & 4 & -117 & \mathbf{28} & 8 & -12 & 0 \\ -6 & 4 & 8 & -117 & 4 & 0 & 0 \\ 0 & -12 & 4 & 4 & -6 & 0 & 0 \\ 0 & 0 & -6 & 0 & 0 & 0 & 0 \end{bmatrix}, \\
 & \begin{bmatrix} \tilde{p}_{-44} & \cdots & \tilde{p}_{04} & \cdots & \tilde{p}_{44} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ \tilde{p}_{-40} & \cdots & \mathbf{\tilde{p}_{00}} & \cdots & \tilde{p}_{40} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ \tilde{p}_{-4-4} & \cdots & \tilde{p}_{0-4} & \cdots & \tilde{p}_{4-4} \end{bmatrix} = \frac{1}{4725} \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 141 & 0 & 0 \\ 0 & 0 & 0 & 0 & 282 & -94 & -94 & 282 & 0 \\ 0 & 0 & 141 & -94 & -188 & -741 & -188 & -94 & 141 \\ 0 & 0 & -94 & -741 & 1951 & 1951 & -741 & -94 & 0 \\ 0 & 282 & -188 & 1951 & \mathbf{6633} & 1951 & -188 & 282 & 0 \\ 0 & -94 & -741 & 1951 & 1951 & -741 & -94 & 0 & 0 \\ 141 & -94 & -188 & -741 & -188 & -94 & 141 & 0 & 0 \\ 0 & 282 & -94 & -94 & 282 & 0 & 0 & 0 & 0 \\ 0 & 0 & 141 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}, \\
 & \begin{bmatrix} \tilde{q}_{-34}^{(1)} & \cdots & \tilde{q}_{14}^{(1)} & \cdots & \tilde{q}_{55}^{(1)} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ \tilde{q}_{-30}^{(1)} & \cdots & \mathbf{\tilde{q}_{10}^{(1)}} & \cdots & \tilde{q}_{50}^{(1)} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ \tilde{q}_{-3-4}^{(1)} & \cdots & \tilde{q}_{1-4}^{(1)} & \cdots & \tilde{q}_{5-4}^{(1)} \end{bmatrix} = \frac{1}{625} \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 6 & 6 & 0 \\ 0 & 0 & 0 & 0 & 12 & 18 & 8 & 12 & 6 \\ 0 & 0 & 6 & 18 & 16 & 210 & 204 & 8 & 6 \\ 0 & 6 & 8 & 210 & 396 & 156 & 210 & 18 & 0 \\ 0 & 12 & 204 & 156 & \mathbf{2451} & 396 & 16 & 12 & 0 \\ 6 & 8 & 210 & 396 & 156 & 210 & 18 & 0 & 0 \\ 6 & 18 & 16 & 210 & 204 & 8 & 6 & 0 & 0 \\ 0 & 12 & 18 & 8 & 12 & 6 & 0 & 0 & 0 \\ 0 & 0 & 6 & 6 & 0 & 0 & 0 & 0 & 0 \end{bmatrix},
 \end{aligned}$$

and

$$q_{\mathbf{k}}^{(2)} = q_{-\mathbf{k}}^{(1)}, \tilde{q}_{\mathbf{k}}^{(2)} = \tilde{q}_{-\mathbf{k}}^{(1)}, \mathbf{k} \in \mathbf{Z}^2. \diamond$$

APPENDIX C

Biorthogonal filters for $\text{Bio}_{(4,8)}$ in Example 5:

$$\begin{aligned}
& \begin{bmatrix} p_{-44} & \cdots & p_{04} & \cdots & p_{44} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ p_{-40} & \cdots & \mathbf{p}_{00} & \cdots & \tilde{p}_{40} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ \tilde{p}_{-4-4} & \cdots & p_{0-4} & \cdots & p_{4-4} \end{bmatrix} \\
&= \frac{1}{3240} \begin{bmatrix} 0 & 0 & 0 & 0 & -27 & -27 & 0 & -27 & -27 \\ 0 & 0 & 0 & -27 & 486 & 43 & 43 & 486 & -27 \\ 0 & 0 & 0 & 43 & -859 & -1260 & -859 & 43 & 0 \\ 0 & -27 & 43 & -1260 & 1934 & 1934 & -1260 & 43 & -27 \\ -27 & 486 & -859 & 1934 & \mathbf{7884} & 1934 & -859 & 486 & -27 \\ -27 & 43 & -1260 & 1934 & 1934 & -1260 & 43 & -27 & 0 \\ 0 & 43 & -859 & -1260 & -859 & 43 & 0 & 0 & 0 \\ -27 & 486 & 43 & 43 & 486 & -27 & 0 & 0 & 0 \\ -27 & -27 & 0 & -27 & -27 & 0 & 0 & 0 & 0 \end{bmatrix}, \\
& \begin{bmatrix} q_{-12}^{(1)} & \cdots & q_{32}^{(1)} \\ \cdots & \cdots & \cdots \\ \cdots & \mathbf{q}_{10}^{(1)} & \cdots \\ \cdots & \cdots & \cdots \\ q_{-1-2}^{(1)} & \cdots & q_{3-2}^{(1)} \end{bmatrix} = \frac{1}{12} \begin{bmatrix} 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 2 & -18 & 1 \\ 1 & -18 & \mathbf{39} & 2 & 0 \\ 1 & 2 & -18 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 \end{bmatrix}, \\
& \begin{bmatrix} \tilde{p}_{-22} & \cdots & \tilde{p}_{22} \\ \cdots & \cdots & \cdots \\ \cdots & \mathbf{\tilde{p}_{00}} & \cdots \\ \cdots & \cdots & \cdots \\ \tilde{p}_{-2-2} & \cdots & \tilde{p}_{2-2} \end{bmatrix} = \frac{1}{27} \begin{bmatrix} 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 9 & 9 & 1 \\ 0 & 9 & \mathbf{21} & 9 & 0 \\ 1 & 9 & 9 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{bmatrix}, \\
& \begin{bmatrix} \tilde{q}_{-34}^{(1)} & \cdots & \tilde{q}_{14}^{(1)} & \cdots & \tilde{q}_{55}^{(1)} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ \tilde{q}_{-30}^{(1)} & \cdots & \mathbf{\tilde{q}_{10}^{(1)}} & \cdots & \tilde{q}_{50}^{(1)} \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ \tilde{q}_{-3-4}^{(1)} & \cdots & \tilde{q}_{1-4}^{(1)} & \cdots & \tilde{q}_{5-4}^{(1)} \end{bmatrix} \\
&= \frac{1}{7290} \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 27 & 0 & 0 & 0 \\ 0 & 0 & 0 & 27 & 243 & 243 & -35 & 0 & 0 \\ 0 & 0 & 0 & 243 & 443 & -315 & -558 & -35 & 0 \\ 0 & 0 & -35 & -315 & -873 & -967 & -315 & 243 & 27 \\ 0 & 0 & -558 & -967 & \mathbf{5616} & -873 & 443 & 243 & 0 \\ 0 & -35 & -315 & -873 & -967 & -315 & 243 & 27 & 0 \\ 0 & 243 & 443 & -315 & -558 & -35 & 0 & 0 & 0 \\ 27 & 243 & 243 & -35 & 0 & 0 & 0 & 0 & 0 \\ 0 & 27 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix},
\end{aligned}$$

and

$$q_{\mathbf{k}}^{(2)} = q_{-\mathbf{k}}^{(1)}, \tilde{q}_{\mathbf{k}}^{(2)} = \tilde{q}_{-\mathbf{k}}^{(1)}, \mathbf{k} \in \mathbf{Z}^2. \diamond$$

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